



# Anuvesh Nigam

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## Summary

A calibrated risk-taker and an impact-driven financial regulatory expert with over six years of experience as a former SEBI officer, specialized in transformative policy-making and regulatory compliance. Proficient in leveraging data-driven insights to drive strategic decision-making and spearheading high-impact projects. Skilled in navigating complex challenges through extensive executive-level stakeholder engagement. Adept at shaping corporate governance frameworks, with a proven track record in crafting board-level policies, including the appointment of independent directors.

## Professional Experience

### Manager | Securities and Exchange Board of India | Mumbai

- Led **stakeholder consultations and deliberations** on the policy proposal “Introduction of Regulatory Framework for Index Providers”. Drafted the consultation paper, published in December 2022. Delivered a high-impact presentation to the Joint Secretary, Ministry of Finance (Government of India), advancing the regulatory framework for a critical class of market intermediaries.
- Developed the strategic Board Memorandum on the **Regulation of Index Providers**, which received SEBI Board approval in November 2023. This groundbreaking policy brought Index Providers under SEBI’s regulatory oversight, marking a significant milestone in strengthening India’s financial market framework. Privileged to have **participated in several SEBI Board meetings** on this agenda that granted access to top level intellectual decision making process.
- Drafted the SEBI (Index Provider) Regulations, 2024. Notified in March 2024.
- Handled regulatory matters viz. examination of business proposals of stock exchanges and examination of bye-law amendment proposals of Stock Exchanges and Depositories to ensure compliance with SEBI (SECC) Regulations, 2018 and SEBI (D&P) Regulations, 2018.
- Periodically carried out **Inspection of the Stock Exchanges**.
- Worked extensively on the policy proposal for ‘**Revision in timelines for issuance of Consolidated Account Statements (CAS)**’ and **changing the Mode of Dispatch for CAS to default electronic mode** by the Depositories in the Indian Securities Market.
- Handled inspection and adjudication of Unregistered Investment Advisors.

### Assistant Manager | Securities and Exchange Board of India | Mumbai

- Spearheaded **stakeholder engagements and deliberations** on the policy proposal titled “**Review of Ownership and Governance Norms for Facilitating New Entrants to Set Up Stock Exchange / Depository**,” and authored the discussion paper published in January 2021, driving the spirit of inclusive policy development mechanism at SEBI.
- Contributed toward rationalisation of compliance requirements for Stock Exchanges and Depositories under the Government of India’s ‘**Ease of Doing Business Reforms**’, resulting in amendment of SEBI regulations governing Stock Exchanges and Depositories.
- Managed **policy matters pertaining to corporate governance** such as disclosure of pecuniary interest concerning nominations for the post of Public Interest Director on the Board of Stock Exchanges, Depositories and Clearing Corporations and also processed applications pertaining to appointment of Public Interest Directors on the Governing Board of Stock Exchanges.
- Periodically carried out Inspection of Stock Exchanges.

### Operations Officer | Indian Oil Corporation Limited | Sidhpur, Gujarat

- Supervised Tank Lorry Loading Operations: Managed safe and efficient fuel loading processes at IOC’s Sidhpur Terminal, ensuring compliance with safety protocols and operational standards.
- Conducted Gasoline Quality Assurance: Performed pre-delivery quality checks on gasoline products at IOC terminal to ensure adherence to industry standards.
- Inspected Tank Trucks for Compliance: Carried out routine inspections of tank trucks to verify alignment with industry regulations thereby minimising risks of fuel theft and ensuring secure transportation.

## Education

BTech - *National Institute of Technology, Bhopal*

## Accomplishments and Awards

- IIM Ahmedabad PGPM 2025-26 **Batch Entry Scholarship Award**
- **Promoted** to the post of Manager at SEBI in February 2023
- Issued warning letters to non-compliant entities at SEBI
- Contributed toward blacklisting of a transporter company that indulged in malpractices
- Secured offer of admission to IIT Delhi – Master of Technology (M.Tech) Program in Computer Technology in 2016
- Qualified GATE 2016 with an All India Rank - 292
- Qualified SEBI Grade A examination with an **All India Rank 32**

## License & Certifications

- Excel Skills for Business: Advanced, Macquarie University

## Co/Extra-curricular

- Writer - 35K followers on social media platform X (erstwhile Twitter).
- Cricket - Played at SEBI Premier League (SPL) - Annual Cricket Tournament of SEBI.
- Table Tennis – Regular participant at company tournaments.